

Sections 1, 2, 3, 5, and 10 must be read and completed for all applications. For optional services complete sections 4, 6, 7, 8 and 9. If you are a Broker-Dealer, please also complete section 11.

Please print or type all items except signature.

## For Assistance Call: 1-866-947-7000

SOCIAL SECURITY NUMBER

## **New Account Application – Causeway International Opportunities Fund**

### PLEASE DO NOT USE THIS APPLICATION TO OPEN AN IRA ACCOUNT.

#### The USA PATRIOT Act

To help the government fight the funding of terrorism and money laundering activities, Federal Law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means to you: When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. This information is subject to verification. If we are unable to verify your identity, we reserve the right to close your account or take such other steps as we deem reasonable.

Sections 1 and 2 must be completed and the information provided will be verified as required by the USA PATRIOT Act. Failure to complete these sections may result in the rejection of your application.

**SHAREHOLDER** 

#### Notice for Non-U.S. persons:

The Fund generally will not accept investments from foreign investors (e.g. foreign financial institutions; non-U.S. persons). The Fund has instructed the transfer agent accordingly. If the Fund accepts such investments, the Fund is expected to conduct enhanced due diligence on such foreign investors as may be required under Section 312 of the USA PATRIOT Act and applicable Treasury or SEC rules, regulations and guidance (if any).

#### Notice to all shareholders

Partnership\*

PARTNER: FIRST, MIDDLE, LAST NAME

the terms of any such documents.

**ADDRESS** 

☐ Non-Resident Alien Country of Citizenship

Physical Mailing Address (if different from above):

**Duplicate Confirmations/Statements Sent To (Optional):** 

ID with their application).

**SHAREHOLDER** 

NAME OF PARTNERSHIP

DATE OF BIRTH

□ U.S. Citizen

**Mailing Address:** 

STREET OR P.O. BOX

CITY, STATE, ZIP

DAYTIME TELEPHONE

F-MAIL ADDRESS

STREET ADDRESS

CITY, STATE, ZIP

STREET OR P.O. BOX

In compliance with applicable state laws, your property may be transferred to the appropriate state if no activity occurs in your account within the time period specified by state law.

Attach a separate list for authorized traders, and each individual partner of a partnership. including full name, social security number, date of birth, and a physical address (P.O. Box

Documents provided in connection with your Application will be used solely to establish and verify your identity. The Fund will have no obligation with respect to

☐ Resident Alien (must have U.S. tax identification number and domestic address).

(Non-Resident Aliens must provide a copy of an unexpired government issued photo

IF MAILING ADDRESS IS A POST OFFICE BOX (OTHER THAN AN ARMY POST OFFICE BOX OR A FLEET POST OFFICE BOX), THEN A PHYSICAL ADDRESS IS ALSO REQUIRED BY THE USA PATRIOT ACT.

MUST PROVIDE PHYSICAL ADDRESS FOR INDIVIDUAL TRUSTEE AND AUTHORIZED TRADER; PROVIDE FOR JOINT REGISTRANT OR MINOR ONLY IF DIFFERENT THAN ABOVE.

is not acceptable.). A copy of partnership agreement must be attached.

## REGISTRATION Please print or type clearly. Please choose one type of account below: Individual or YOUR NAME: FIRST, MIDDLE, LAST SOCIAL SECURITY NUMBER DATE OF BIRTH JOINT OWNER'S NAME: FIRST, MIDDLE, LAST JOINT OWNER'S SOCIAL SECURITY NUMBER JOINT OWNER'S DATE OF BIRTH OCCUPATION EMPI OYER TRANSFER ON DEATH BENEFICIARY (OPTIONAL) SOCIAL SECURITY NUMBER DATE OF BIRTH O Custodial/Gift to Minors CUSTODIAN'S NAME: FIRST, MIDDLE, LAST CUSTODIAN'S SOCIAL SECURITY NUMBER CUSTODIAN'S DATE OF BIRTH MINOR'S NAME: FIRST, MIDDLE, LAST MINOR'S SOCIAL SECURITY NUMBER MINOR'S STATE OF RESIDENCE MINOR'S DATE OF BIRTH Trust\* TRUSTEE'S NAME TRUSTEE'S SOCIAL SECURITY NUMBER TRUSTEE'S DATE OF BIRTH NAME OF TRUST AGREEMENT TRUST'S TAXPAYER IDENTIFICATION NUMBER DATE OF TRUST AGREEMENT \* Attach a separate list for additional Trustees and authorized traders including full name, social security number, date of birth and physical address. Please also include the first and last page of trust document. Corporation\* NAME OF CORPORATION PROVIDE SYMBOL IF A PUBLICLY TRADED CORPORATION TAXPAYER IDENTIFICATION NUMBER

## CITY, STATE, ZIP **Receiving Investor Documents**

The Causeway International Opportunities Fund is taking advantage of the "Householding" Rule, which permits the delivery of one copy of an annual/semi-annual report, prospectus and/or proxy statement on behalf of two or more shareholders at a shared address. Unless you indicate otherwise by checking the box below, your signature on this application indicates your consent to Householding and the Fund will deliver one copy of the above referenced documents to your address for as long as you remain invested in the Causeway International Opportunities Fund. You may revoke your consent at any time by calling 1-866-947-7000. Upon receiving such notification, the Fund will begin mailing individual copies of the above referenced documents to your attention within 30 days.

☐ I do **not** wish to participate in Householding.

ii uiis account.	
or Non-Public Cornorations	

Please enclose the Articles of Incorporation and a corporate resolution (or governmentissued business license) which identifies the individuals authorized to conduct transactions

\* For all Corporations:

Your list of authorized traders must include their full name, social security number, date of birth, and physical address.

## **New Account Application – Causeway International Opportunities Fund**

## **FUND SELECTION/ INVESTMENT OPTION**

- · Enclose your check (minimum initial investment is required)
- Make your check payable to: Causeway International Opportunities Fund
- Causeway Funds do not accept cash, credit card convenience checks, counter checks, foreign checks, money orders, starter checks, third party checks, traveler's checks or credit cards.

	Causeway International Opportunities Fund – Institutional Class \$1 million minimum	\$
	Causeway International Opportunities Fund – Investor Class \$5,000 minimum	\$
PI	ease call 1-866-947-7000 prior to sending a wire.	

Wiring Instructions: Reference: UMB Bank, N.A. Fund Name ABA #101000695 Account Number Causeway International Opportunities Fund Account Name DDA Acct. #9871062694

## DIVIDEND & CAPITAL GAINS INSTRUCTIONS

All distributions will be reinvested automatically unless one of the following is checked:

#### Dividends:

- Send all dividends by direct deposit to the bank account indicated on the enclosed voided check
- $\ \square$  Send all dividends by check to the address in section 2.
- □ Reinvest all dividends.

#### **Capital Gains:**

- ☐ Send all capital gains by direct deposit to the bank account indicated on the enclosed voided check.
- Send all capital gains by check to the address in section 2.
- Reinvest all capital gains.

## **COST BASIS** CALCULATION METHOD

Please elect the cost basis method to be used in calculating the gain or loss associated with redemption requests. The elected method will be used for all accounts established by this application and any future accounts established. Please choose from the following:

(Choose only one) Average Cost O First-In First-Out Specific Lot Note: When selecting Specific Lot, please choose a secondary method to be used as an alternate in the event specific lot information is not provided. O First-In First-Out Last-In First-Out High Cost O Low Cost Loss/Gain Utilization If no election is made Average Cost will be used.

## TELEPHONE AUTHORIZATION

I (we) hereby authorize and direct the agent to accept and act upon telephone instructions for purchases, exchanges and/or redemptions involving the account with corresponding registration unless one or more of the following is/are checked:

- I (we) do not authorize telephone exchanges.
- O I (we) do not authorize telephone redemptions.
- O I (we) do not authorize telephone purchases.

## SYSTEMATIC **INVESTMENT PLAN (SIP)**

I (We) hereby authorize and direct the agent to draw on my (our) bank account on a periodic basis, as indicated in section 9, for investment in my (our) account. Attached is a voided check of the bank account I (We) wish to use. (Initial investments may not be made through the Systematic Investment Plan). Please note this service will be effective 15 days after the Causeway International Opportunities Fund receives this application.

If no date is chosen below, your bank account will be debited on the 15<sup>th</sup> of the month.

Preterrea inve	stment Scheaule:						
O Monthly	O Quarterly	O Semi-Annual	ly OA	nnually			
○ 5 <sup>th</sup>	○ 10 <sup>th</sup>	○ 15 <sup>th</sup>	○ 20 <sup>th</sup>	○ 30 <sup>th</sup>			
DAY OF MONTH							
Debit My (Our	Debit My (Our) Bank Account and Invest as Follows (\$100 Minimum per Fund):						
□ Causeway International Opportunities Fund – Institutional Class \$							
☐ Causeway	International Oppor	tunities Fund – Invest	or Class	\$			

## SYSTEMATIC WITHDRAWAL PLAN (SWP)

An account bala	ince of at least \$5,	000 is required.			
Preferred With	drawal Schedule:				
<ul><li>Monthly</li></ul>	<ul><li>Quarterly</li></ul>	O Semi-Annually	y O Annual	у	
If no date is ch the month.	osen below, your	mutual fund account	will be debited or	the 15th o	
$\bigcirc$ 5 <sup>th</sup>	○ 10 <sup>th</sup>	○ 15 <sup>th</sup>	○ 20 <sup>th</sup>	○ 30 <sup>th</sup>	
DAY OF MONTH					
Preferred Payn	nent Method:				
O By Check	O Direct Depo	sit to your Bank (ACH) (0	Complete Section 9	9)	
I (We) Elect to Receive a Periodic Payment of (\$100 Minimum per account):					

# **BANK**

**INFORMATION** 

## For SIP/SWP. Wire and/or ACH Purchases or Redemptions:

☐ Causeway International Opportunities Fund – Institutional Class

☐ Causeway International Opportunities Fund – Investor Class

Your bank account information must be on file in order to exercise telephone investment privileges. The account holder's name(s) corresponding to the account number below must match exactly at least one name in Section 1.

A blank voided check must be enclosed.

NAME OF BANK	
REGISTRATION ON ACCOUNT	
ADA DOUTINO NUMBER	
ABA ROUTING NUMBER	
	O Checking O Savings
	Officering Odvings
ACCOUNT NUMBER	ACCOUNT TYPE

## **New Account Application – Causeway International Opportunities Fund**

## 10 APPLICANT'S SIGNATURE

- (a) I have read the current prospectus and this application and agree to all terms. In addition, I authorize the instructions in this application. I also agree that any shares purchased now or later are and will be subject to the terms of the prospectus as in effect from time to time.
- (b) By execution of this application, the investor represents and warrants that (i) the investor has the full right, power, and authority to make the investment applied for and (ii) if an individual, the investor is a natural person of legal age in investor's state of residence. The person or persons, if any, signing on behalf of the investor represent and warrant that each is duly authorized to sign this application and purchase or redeem shares of the Fund on behalf of the investor. Each person named in the registration must sign below.
- (c) (For direct investors investing without an adviser or representative): I acknowledge that: (i) I am a direct investor in the Fund(s); (ii) I have made all decisions to transact in shares of the Fund(s) independently and did not receive or rely on an investment recommendation or investment advice from the Fund(s) or the Fund's principal underwriter when transacting in shares of the Fund(s), and (iii) I am not a customer of the Fund's principal underwriter.
- (d) If I am a U.S. citizen, resident alien, or a representative of a U.S. entity, I certify, under penalty of perjury, that:
  - The social security number or employer identification number shown on this form is my correct Taxpayer Identification Number,
  - ii. I am not subject to backup withholding because:
    - a. I am exempt from backup withholding OR
    - I have not been notified that I am subject to backup withholding as a result of a failure to report all interest or dividend OR,
    - c. The Internal Revenue Service has notified me that I am no longer subject to backup withholding. (Strike out this item (b) if you have been notified that you are subject to backup withholding).
  - iii. I am a U.S. person, resident alien, or a representative of a U.S. Entity.
- (e) If I am a nonresident alien, I understand that I am required to complete the appropriate Form W-8 to certify my foreign status. I understand that, if I am a nonresident alien, I am not under penalty of perjury for certifying to the above information.
- (f) By my signature below, I certify, on my own behalf or on behalf of the investor I am authorized to represent, that:
  - the investor is not involved in any money laundering or terrorist financing schemes and the source of this investment is not derived from any unlawful activity or terrorist financing; and
  - ii. the information provided by the investor in this application is true and correct and any documents provided herewith are genuine.

SIGNATURE: INDIVIDUAL,	CUSTODIAN,	TRUSTEE,	, PARTNER,	OR AUTHORIZED	OFFICER,	EXACTLY A	AS IT A	<b>IPPEARS</b>	IN
SECTION 1									

DATE

SIGNATURE: JOINT OWNER, EXACTLY AS IT APPEARS IN SECTION 1

DATE

### Return the following to the address below:

- 1. This completed application.
- 2. Voided bank check or deposit slip if applicable.
- $\textbf{3.} \ \textbf{One check made payable to: Causeway International Opportunities Fund}$

### Send to

Causeway International Opportunities Fund P.O. Box 219085 Kansas City, MO 64121-7159

### For overnight packages:

Causeway International Opportunities Fund c/o SS&C GIDS, Inc. 430 West 7th Street Kansas City, MO 64105

## 11 DEALER/SERVICE ORGANIZATION USE ONLY

FIRM NAME	
FIRM NUMBER	
REP NAME	
REP NUMBER	
BRANCH ADDRESS	
BRANCH PHONE NUMBER	BRANCH NUMBER
AUTHORIZED SIGNATURE OF DEALER	

## **Certification Regarding Beneficial Owners of Legal Entity Customers**

#### I. GENERAL INSTRUCTIONS

#### What is this form?

To help the government fight financial crime, Federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. Legal entities can be abused to disguise involvement in terrorist financing, money laundering, tax evasion, corruption, fraud, and other financial crimes. Requiring the disclosure of key individuals who own or control a legal entity (i.e., the beneficial owners) helps law enforcement investigate and prosecute these crimes.

### Who has to complete this form?

This form must be completed by the person opening a new account on behalf of a legal entity with any of the following U.S. financial institutions: (i) a bank or credit union; (ii) a broker or dealer in securities; (iii) a mutual fund; (iv) a futures commission merchant; or (v) an introducing broker in commodities.

For the purposes of this form, a legal entity includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country. Legal entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

## II. CERTIFICATION OF BENEFICIAL OWNER(S)

Persons opening an account on behalf of a legal entity must provide the following information:

a. Name and Title of Natural Person Opening Account:
b. Name and Address of Legal Entity for Which the Account is Being Opened:
c. The following information for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:

Name	Date of Birth	Address (Residential Street Address)	For U.S. Persons: Social Security Number	For Foreign Persons: Passport Number and Country of Issuance, or other similar*

(If no individual meets this definition, please write "Not Applicable.")

- \* In lieu of a passport number, foreign persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.
- d. The following information for one individual with significant responsibility for managing the legal entity listed above, such as:
  - An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or
  - Any other individual who regularly performs similar functions. (If appropriate, an individual listed under section (c) above may also be listed in this section (d)).

Name	Date of Birth	Address (Residential Street Address)	For U.S. Persons: Social Security Number	For Foreign Persons: Passport Number and Country of Issuance, or other similar*

<sup>\*</sup> In lieu of a passport number, foreign persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

I, knowledge, that the information pro	(name of natural person opening account), hereby certify, to the best of my vided above is complete and correct.
Signature:	
Date:	