

## **Causeway Funds plc**

### **Summary of Investor Rights**

**August 2021**

#### ***Introduction***

This document sets out a summary of rights afforded to you as a Shareholder whose name appears on the share register of Causeway Funds plc (the “**Fund**”). Should you require any further information in respect of the terms of your investment, please refer to the Prospectus, relevant Supplement, the Constitution of the Fund and relevant Fund Application.

#### ***Entitlement to receive certain information relating to your investment in the Fund***

As a Shareholder in the Fund, a copy of the latest annual and, where applicable, semi-annual financial statements of the Fund and its Sub-Funds will be available to you at <https://www.causewaycap.com/ucits/global-value-ucits-fund/> or <https://www.causewaycap.com/ucits/emerging-markets-ucits-fund/> (depending on which Sub-Fund you own) and a copy of such reports shall be provided to you on request and free of charge by contacting Causeway Capital Management LLC (the “Investment Manager”), as investment manager of the Fund, at [clientservices@Causewaycap.com](mailto:clientservices@Causewaycap.com).

The latest Net Asset Value per Share is also available at the website link above and on request from the Investment Manager at [clientservices@Causewaycap.com](mailto:clientservices@Causewaycap.com).

The current Prospectus of the Fund and Supplement for each Sub-Fund is also available at the website link above; a paper copy of which will be delivered to you on request and free of charge.

#### ***Entitlement to redeem your interest in the Fund***

Shareholders in the Fund are entitled to redeem their shareholding and receive the redemption proceeds relating to such Shares within the timeframe and subject to such conditions as detailed in the Fund’s Prospectus and the relevant Supplement.

#### ***Voting rights and related matters***

A Shareholder has the right to vote (whether in a general meeting or, where so permitted under the Fund’s Constitution, by way of a written resolution) on shareholder resolutions relating to the Fund, relevant Sub-Fund or Class in accordance with the conditions described in the Fund’s Prospectus.

#### ***Entitlement to make a complaint***

As a Shareholder in the Fund, you are entitled to make a complaint free of charge. Any

complaint may be made directly to the Fund or to the Investment Manager .

### ***Representative actions under Irish law***

Currently, under Irish court rules, there is no formal representative action procedure in place which facilitates collective redress by consumers in respect of infringements of EU or national law. This means that any action brought in an Irish court relating to an infringement of Irish or EU laws governing collective investment schemes and their managers must currently be litigated on an individual basis.

A collective redress mechanism, as required under Directive (EU) 2020/1828, must be implemented in Ireland by 25 June 2023.

### ***Investor rights against the Fund and service providers of the Fund***

As a Shareholder in the Fund, you have a right of action against the Fund for any breach of contract.

Shareholders in the Fund do not have any direct contractual rights against any service provider appointed in respect of the Fund because of the absence of a direct contractual relationship between the Shareholder and the relevant service provider. Instead, the proper plaintiff in an action in respect of which a wrongdoing is alleged to have been committed against the Fund or its management company by the relevant service provider is typically the Fund or its management company, as applicable.

Notwithstanding the foregoing, a Shareholder has a regulatory right of action to pursue the Depositary appointed by the Fund in respect (i) any loss of an asset held in the custody of the Depositary or any delegate of the Depositary or (ii) of any other losses caused by the Depositary's negligent or intentional failure to properly fulfil its obligations under applicable regulations, provided that this does not lead to a duplication of redress or to unequal treatment of Shareholders in the Fund.

### ***General***

It should be noted that this summary addresses rights conferred on Shareholders under the applicable legislation governing the operation of the Sub-Fund in which you are invested. You may also be afforded rights under other legislation or regulatory frameworks which are not addressed above, including for example, your rights as a data subject under Regulation 2016/679.