

The USA PATRIOT Act

**IRA Application - Emerging Markets Fund** 

To help the government fight the funding of terrorism and money laun-

dering activities, federal law requires all financial institutions to obtain,

verify, and record information that identifies each person who opens an

What this means to you: When you open an account, we will ask for your name, address, date of birth, and other information that will allow

us to identify you. This information is subject to verification. If we are

unable to verify your identity, we reserve the right to close your account

Sections 1-4, and 6 must be read and completed for all applications. Section 5 is an optional service.
Section 7 must be completed by SEI Private Trust Company.

Please print or type all items except signature. If you are a Broker Dealer, please also complete section 8.

#### For Assistance Call: 1-866-947-7000

Sections 1 and 2 must be completed and the information provided will be verified as required by the USA PATRIOT Act. Failure to complete these sections may result in the rejection of your application.

#### Notice for Non-U.S. persons:

The Fund generally will not accept investments from foreign investors (e.g. foreign financial institutions; non-U.S. persons). The Fund has instructed the transfer agent accordingly. If the Fund accepts such investments, the Fund is expected to conduct enhanced due diligence on such foreign investors as may be required under Section 312 of the USA PATRIOT Act and applicable Treasury or SEC rules, regulations and guidance (if any). Notice to all shareholders

#### Notice to all shareholders

· Enclose your check

\$1 million minimum

\$4,000 minimum

TOTAL

In compliance with applicable state laws, your property may be transferred to the appropriate state if no activity occurs in your account within the time period specified by state law.

### GENERAL INFORMATION

or take such other steps as we deem reasonable.

Read the Fund's **prospectus** for important information about the Funds and the **IRA Custodial Agreement and Disclosure Statement** for important information regarding IRA investments and retain them for your files.

Please complete the IRA Application and, if applicable, the IRA Asset Transfer/Direct Rollover Request form. Make your check payable to the Causeway Emerging Markets Fund.

Send to: Causeway Emerging Markets Fund

P.O. Box 219085 Kansas City, MO 64121-7159 For overnight packages: Causeway Emerging Markets Fund c/o DST Systems 430 West 7th Street Kansas City, MO 64105

For assistance, call Causeway Funds toll-free at 1-866-947-7000.

### 1 SHAREHOLDER REGISTRATION

NAME: FIRST	MIDDLE	LAST	
STREET ADDRESS			
IF MAILING ADDRESS IS A POS OFFICE BOX), THEN A PHYSICA		N ARMY POST OFFICE BOX OR A FLEET D BY THE USA PATRIOT ACT.	POST
CITY	STATE	ZIP	
COUNTRY OF CITIZENSHIP			
( )	( )		
DAYTIME TELEPHONE	EVENING TEL	LEPHONE	
SOCIAL SECURITY NUMBER	DATE OF	BIRTH	
E-MAIL ADDRESS			

#### **Receiving Investor Documents**

Causeway Funds are taking advantage of the "Householding" Rule, which permits the delivery of one copy of an annual/semi-annual report, and/or prospectus on behalf of two or more shareholders at a shared address. Unless you indicate otherwise by checking the box below, your signature on this application indicates your consent to Householding and Causeway Funds will deliver one copy of the above referenced documents to your address for as long as you remain invested in Causeway Funds. You may revoke your consent at any time by calling 1-866-947-7000. Upon receiving such notification, Causeway Funds will begin mailing individual copies of the above referenced documents to your attention within 30 days.

$\Box$ I	dο	notwish	tο	narticinate	in	Householding.
	uυ	TIOL WISH	ιU	participate	Ш	Householding.

Documents provided in connection with your Application will be used solely to establish and verify your identity. Causeway Funds will have no obligation with respect to the terms of any such documents.

7	TYPE OF IRA AND
	<b>INVESTMENT ACCOUNTS</b>

Please check only one box indicating the If more than one option is required, please untraditional IRA	
☐ Contribution for tax year 20	\$
☐ Transfer Traditional IRA assets from my account at another financial institution*	% or \$
☐ Direct Rollover from a 401(k), Profit Sharing, or Employer- Sponsored Plan*	% or \$
Roth IRA	
☐ Contribution for tax year 20	\$
☐ Transfer Roth IRA assets from another financial institution, where my account was started in (year)*	% or \$
Roth IRA Conversion	
<ul> <li>□ Convert my existing Causeway Emerging Markets Funds Traditional IRA to a Roth IRA</li> </ul>	Existing Traditional IRA Account Number
☐ Transfer Roth IRA assets from another financial institution, where my account was started in (year)*	% or \$
☐ I elect to have no withholding taken on IRA to a Roth IRA. (If this box is not chaken for federal income tax. You may be a second taken for federal income tax.)	necked, a 10% withholding will be
☐ I elect to increase the withholding amo (10-100%) (not less than 10%)	unt to %
SEP IRA	
☐ SEP employer (or self-employed) contribution (year)	\$
☐ Transfer SEP IRA*	\$
*Please complete the IRA Transfer/Direct Ro	llover Request Form.
3 INVESTMENT INSTRUCTIONS	

• Make your check payable to: Causeway Emerging Markets Fund

drafts, money orders, starter, counter, or third party checks.

☐ Emerging Markets Fund – Institutional Class (1273)

☐ Emerging Markets Fund – Investor Class (1274)

· Causeway Funds do not accept cash, travelers checks, cashier's checks, bank

\$

\$

### IRA Application - Emerging Markets Fund (continued)

## 4 BENEFICIARY DESIGNATION

Designate the beneficiary or beneficiaries who will receive your IRA account in the event of your death. If you name more than one beneficiary, indicate the percentage each is to receive, otherwise your named beneficiaries (if more than one) will share equally.

If you need more space to list additional beneficiaries, please use a separate sheet to list them using the same format as below.

#### Primary Beneficiary

1.			
NAME		PERCENT	
RELATIONSHIP	DATE OF BIRTH	SOCIAL SECURITY NUMBER	
2.			
NAME		PERCENT	
RELATIONSHIP	DATE OF BIRTH	SOCIAL SECURITY NUMBER	
Secondary Beneficiary			
1.			
NAME		PERCENT	
RELATIONSHIP	DATE OF BIRTH	SOCIAL SECURITY NUMBER	
2.			
NAME		PERCENT	
RELATIONSHIP	DATE OF BIRTH	SOCIAL SECURITY NUMBER	

### **Spousal Consent**

Only applicable if the accountholder named designates a beneficiary other than his or her spouse and lives in a community or marital property state.

(This section should be reviewed if either the Trust or the residence of the accountholder is located in a community or marital property state and the accountholder is married and is designating a beneficiary other than the spouse. It is the accountholder's responsibility to determine if this section applies. The accountholder may need to consult with legal counsel. Neither the Custodian nor the Sponsor will be liable for any consequences resulting from a failure of the accountholder to provide proper spousal consent.)

I am the spouse of the above-named accountholder. I acknowledge that I have received a full and reasonable disclosure of my spouse's property and financial obligations. Due to any possible consequences of giving up my community property interest in this IRA, I have been advised to see a tax professional or legal adviser. I hereby give the accountholder any interest I have in the funds or property deposited in this IRA and consent to the beneficiary designation(s) indicated above.

I assume full responsibility for any adverse consequences that may result. No tax or legal advice was given to me by the Custodian.

X	
SIGNATURE OF SPOUSE	DATE
X	
SIGNATURE OF WITNESS FOR SPOUSE	DATE

## 5 TELEPHONE AUTHORIZATION

I hereby authorize and direct the agent to accept and act upon telephone instructions for exchanges involving the account with corresponding registration unless the below box is checked:

□ I do not authorize telephone exchanges.

### 6 SHAREHOLDER AGREEMENT

- (a) I acknowledge that I have received the SEI Private Trust Company IRA Disclosure Statement and the IRA Custodial Agreement. I have read both and I accept and agree to be bound by the terms and conditions of the IRA Custodial Agreement. I have also read the current prospectus and this application and agree to all terms. In addition, I authorize the instructions in this application. I also agree that any shares purchased now or later are and will be subject to the terms of the prospectus as in effect from time to time.
- (b) I agree that the designation of the tax year for my deposit and my election to treat a deposit as a rollover (if applicable) are irrevocable.
- (c) By execution of this application, the investor represents and warrants that (i) he or she has the full right, power, and authority to make the investment applied for and (ii) he or she is a natural person of legal age in his or her state of residence. By signing this application, I hereby authorize and appoint SEI Private Trust Company to act as Custodian of my account. I further agree that for any future modifications to be valid they must be received by SEI Private Trust Company.
- (d) If I am a U.S. citizen, resident alien, or a representative of a U.S. entity, I certify, under penalty of perjury, that:
  - i. The social security number or employer identification number shown on this form is my correct Taxpayer Identification Number
  - ii. I am not subject to backup withholding because:
    - a. I am exempt from backup withholding OR
    - b. I have not been notified that I am subject to backup withholding as a result of a failure to report all interest or dividends OR,
    - c. The Internal Revenue Service has notified me that I am no longer subject to backup withholding. (Strike out this item (b) if you have been notified that you are subject to backup withholding.)
  - iii. I am a U.S. person, resident alien, or a representative of a U.S. entity.
- (e) If I am a nonresident alien, I understand that I am required to complete the appropriate Form W-8 to certify my foreign status. I understand that, if I am a nonresident alien, I am not under penalty of perjury for certifying to the above information.
- (f) By my signature below, I certify, on my own behalf or on behalf of the investor I am authorized to represent, that:
  - i. the investor is not involved in any money laundering or terrorist financing schemes and the source of this investment is not derived from any unlawful activity; and
  - ii. the information provided by the investor in this application is true and correct and any documents provided herewith are genuine.

DATE

7 CUSTODIAN ACCEPTANCE	
The Custodian hereby adopts this SEI Private Trust Company	
Individual Retirement Custodial Account.	
SEI PRIVATE TRUST COMPANY: X	
BY DATE	
TITLE	

# 8 DEALER/SERVICE ORGANIZATION USE ONLY

FIRM NAME		
FIRM NUMBER		
REP NAME		
REP NUMBER		
BRANCH ADDRESS		
BRANCH PHONE NUMBER	BRANCH NUMBER	

AUTHORIZED SIGNATURE OF DEALER

SIGNATURE